

FACT SHEET: GREEN LIST WASTE SHIPMENTS

What is a Green List waste shipment?

The [Regulation \(EC\) 1013/2006](#) introduced two lists for types of waste shipments, Amber List and Green List. The latter concerns the majority of shipments of non-hazardous wastes to EU/OECD countries and some non-OECD countries. These shipments can be imported or exported for recovery without prior written notification or consent from the competent authorities.

What are the requirements of a Green List waste shipment?

It requires a contract, which shall be effective when the shipment starts, between the person who arranges the shipment and the consignee for recovery of the waste, and shall include an obligation, where the shipment of waste or its recovery cannot be completed as intended or where it has been effected as an illegal shipment, on the person who arranges the shipment or, where that person is not in a position to complete the shipment of waste or its recovery (for example, is insolvent), on the consignee, to:

- (a) take the waste back or ensure its recovery in an alternative way; and
- (b) provide, if necessary, for its storage in the meantime.

A green listed shipment also requires a completed and signed Annex VII form.

How to complete an Annex VII form

Block 1: Insert the full name, address and contact details of the person who arranges the shipment (the exporter). They must be a registered broker in the country of dispatch.

Block 2: Insert the full name, address and contact details of the person receiving the waste (the importer/consignee). They must be under the jurisdiction of the country of destination. Provide the licence/permit number as appropriate.

Block 3: Enter the actual quantity of waste in metric tonnes (Mg) or cubic metres (m³).

Block 4: Enter the date when the shipment will commence. A six-digit format should be used to indicate the date. For example, 1 January 2019 should be shown as 01.01.19 (Day.Month.Year). A shipment commences when the waste leaves the generator's site.

Block 5(a-c): Insert the contact details of the first waste carrier, including the type of transport, date of transfer and signature. Where applicable, insert the same information for second and third waste carriers. If there are more than three carriers, provide the details in an annex.

Block 6: Insert the waste generator's full name, address and contact details (the original waste producer(s) or the person/facility generating the waste for export).



The IMPEL LIFE SWEAP project (LIFE17 GIE/BE/000480) has received funding from the LIFE programme of the European Union

Block 7: Insert the full name, address and contact details for the disposal/recovery site including site registration details (waste licence or permit number). This information cannot be provided on a separate document.

Block 8: Insert the appropriate 'R' code for the proposed recovery operation. A list of codes is available in Annex II of the [European Directive 2008/98/EC](#).

Block 9: Insert usual description of the waste

Block 10: Insert ALL relevant codes to comply with rules on waste shipments.

- i. Basel Codes from Basel Convention Annex IX. A full list can be found in section B of the [Regulation \(EC\) 1013/2006](#) (WSR). 'Green list' codes start with the letter 'B' followed by four numbers.
- ii. OECD codes should be used for wastes listed in Part II of Annex III to the WSR, i.e. wastes that have no equivalent listing in Annex IX to the Basel Convention or that have a different level of control under the WSR from the one required by the Basel Convention.
- iii. In case of mixtures of wastes listed in Annex IIIA to the WSR, provide the relevant code(s) as indicated in Annex IIIA
- iv. In case of waste listed in Annex IIIB to the WSR, provide the BEU codes listed in Annex IIIB
- v. Provide the codes included in the European list of waste ([see Commission Decision 2000/532/EC as amended](#)).
- vi. Where applicable, national identification codes used in the country of dispatch and, if known, in the country of destination should be provided.

Block 11: Provide the name or the code of each country/state the shipment will pass through from dispatch to the final destination.

Block 12: Insert date, and name and signature of the exporter.

Block 13: When the waste has been received, insert name and signature of the importer, and the date.

Block 14: When the waste has been recovered, insert name and signature of the recovery facility/laboratory, and the date.

Further information

- Copies of the Annex VII should be kept by all involved parties until at least three years after the shipment.
- Link to: [Correspondents' Guidelines No 10 on shipments of waste pursuant to Article 18 of Regulation \(EC\) No 1013/2006 on shipments of waste](#)

For more information, contact the relevant competent authority in each country/state.

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